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1 **Title: Diversity and antibiotic resistance of *Acinetobacter* spp. in water from the**  
2 **source to the tap**

3  
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15  
16  
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18  
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22  
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32

33 **Abstract**

34 *Acinetobacter* spp. are ubiquitous bacteria in the environment. *Acinetobacter* spp.  
35 isolated from a municipal drinking water treatment plant and from connected tap water  
36 were identified to the species level, on the basis of *rpoB* gene partial sequence analysis.  
37 Isolates were typed to assess intra-species variation, based on the analysis of the partial  
38 sequences of housekeeping genes (*rpoB*, *gyrB* and *recA*). Antibiotic resistance was  
39 characterized, using the disk diffusion method, and classified as wild or non-wild type,  
40 according to the observed phenotype.

41 The strains of *Acinetobacter* spp. were related to 11 different validly published species,  
42 although four groups of isolates, presenting low *rpoB* sequence similarities with  
43 previously described species, may represent new species. Most of the isolates were  
44 related to the species *A. johnsonii* and *A. lwoffii*. These two groups, as well as others  
45 related to the species *A. parvus* and *A. tjernbergiae*, were detected in the water  
46 treatment plant and in tap water. Other strains, related to the species *A. pittii* and *A.*  
47 *beijerinckii*, were isolated only from tap water. Most of the isolates (80 %)  
48 demonstrated wild type to all of the 12 antibiotics tested. Non-wild types were observed  
49 for tetracycline, meropenem and ceftazidime, among others, in water-treatment plant  
50 samples or in tap water samples. Although, in general, this study suggests a low  
51 prevalence of acquired antibiotic resistance in water *Acinetobacter* spp., the potential of  
52 some species to acquire and disseminate resistance via drinking water is suggested.

53 **Introduction**

54 Members of the genus *Acinetobacter* spp. are Gram-negative, obligate aerobic,  
55 heterotrophic bacteria with wide-spread distribution in natural environments (Juni  
56 2005). Given their metabolic versatility and general physiological characteristics,  
57 *Acinetobacter* spp. can easily settle in anthropogenic habitats, including waste-water  
58 treatment facilities. In these habitats, the roles of *Acinetobacter* spp. on the removal of  
59 phosphorus or in the degradation of polycyclic aromatic hydrocarbons are well known  
60 (Kim 1997; Thangaraj 2008). However, in these same habitats, *Acinetobacter* spp. may  
61 be subjected to selective pressures capable of promoting antibiotic resistance acquisition  
62 (Zhang 2009). The tendency noticed world-wide for *Acinetobacter* spp. to develop  
63 antibiotic resistance (Henwood 2002; Kuo 2010; Marshall 2007; Tognim 2004; Xu  
64 2008), may, in part, be attributed to the transient colonization of waste-waters and other  
65 habitats subject to anthropic pressures.

66 *Acinetobacter* spp. are frequently found also in unpolluted sites, such as ground-,  
67 surface- or tap water (Hoefel 2005; Mckeon 1995; Pavlov 2004; Who 2008). These  
68 habitats constitute important sources of bacteria with potential to infect humans,  
69 particularly immune-compromised hosts, for example, via water baths and room  
70 humidifiers (Who 2008). In this respect, the ability of some *Acinetobacter* spp. to form  
71 biofilms is documented to be important (Bhargava 2010; Simões 2010). This ability of  
72 some *Acinetobacter* spp., to form biofilms, have recognized them a status as important  
73 nosocomial agents (Henwood 2002; Idzenga 2006; Medina 2007; Regalado 2009;  
74 Tognim 2004). *A. baumannii* is the most frequently reported (Towner 2009).

75 *Acinetobacter* species, such as those of the *A. calcoeticus*-*A. baumannii* complex,  
76 have been reported also as being potentially hazardous for human health. Additionally,  
77 members of the species *A. haemolyticus*, *A. lwoffii*, *A. junii* or *A. radioresistans*, with  
78 widespread distribution in the environment, have been referred to as “agents of clinical

79 relevance” (Dimopoulou 2003; Idzenga 2006; Kappstein 2000; Poirel 2008; Quinteira  
80 2007; Regalado 2009; Spence 2002; Tognim 2004). Given the ubiquity of these species,  
81 their capabilities to cross between different water compartments (waste-, surface-,  
82 ground-) and their capacity to infect humans, the presence of *Acinetobacter* spp. in tap  
83 water may represent a potential health risk. In such situations, the severity of the  
84 associated risks will depend, among other factors, on the species and the occurrence of  
85 acquired antimicrobial resistance. The hypothesis of this work was that drinking water  
86 represents a vehicle for *Acinetobacter* spp. dissemination, in which the hazardous  
87 potential could be aggravated by reduced antimicrobial susceptibility. To study this  
88 hypothesis, the current study was designed to characterize: i) the diversity of  
89 *Acinetobacter* spp. in water sources (drinking water treatment plant and taps) at the  
90 species and sub-species levels; and ii) the non-wild type susceptibility to antibiotics of  
91 different classes.

## 92 **Materials and methods**

### 93 Sampling

94 The bacterial strains examined in this study were isolated from a water treatment plant  
95 (WTP) and distribution system, from tap water in 11 houses and in one health care  
96 facility (Fig. 1). The houses and health care facility are served by the WTP studied and  
97 are located within an area of 25 km<sup>2</sup>. Samples from the WTP were collected at eight  
98 different locations along the production process: surface water (W1); alluvial wells  
99 (W2); after the ozonation step (W3); after the chlorination point (W4; preceded by  
100 flocculation and activated carbon filtration); and at four points downstream in the bulk  
101 supply distribution system (W5-W8) (Fig. 1). These samples were collected in  
102 November 2007 and in September 2009, at sampling points used for routine control of  
103 water quality. Tap water samples were collected in April, July and October 2009 from  
104 11 household taps (T1-T11; from 11 different houses) with low usage (1-4 times a  
105 month). The tap water from the health care facility (H) was collected in June 2008, from  
106 a tap used regularly, located in the sterilization unit. Water samples were collected and  
107 processed as described before (Vaz-Moreira 2011c).

108

### 109 Bacterial enumeration and isolation

110 Cultivable bacterial enumeration and isolation were based on the membrane filtration  
111 method, using the culture media, R2A (Difco, Le Pont de Claix, France), *Pseudomonas*  
112 Isolation Agar (PIA, Difco) and Tergitol 7-Agar (TTC, Oxoid, Hants, UK) as described  
113 before (Vaz-Moreira 2011c) Bacterial isolates for purification were selected after visual  
114 examination of culture plates with countable numbers of colonies. The criteria for  
115 further isolate purification were: all colonies of morpho-types represented by less than  
116 five colonies; half of the colonies of morpho-types represented by five to 10 colonies;  
117 and approximately one third of the colonies of morpho-types represented by more than

118 10 colonies. Isolates were purified and preserved as described before (Vaz-Moreira  
119 2011c).

120

121 Preliminary identification of presumptive *Acinetobacter* spp.

122 After the preliminary characterization, as described in, Vaz-Moreira (2011c) of a total of  
123 2833 bacterial isolates, a group of 323 Gram-negative cocco-bacilli, oxidase-negative  
124 and catalase-positive isolates, assumed presumptively as possible *Acinetobacter* spp.,  
125 were selected for further analysis. This set of isolates was screened for presence of the  
126 *Acinetobacter* spp. 16S rRNA signature, as described by Vanbroekhoven et al. (2004).  
127 PCRs were carried out with the following programme: initial denaturation at 95 °C for 5  
128 min; 30 cycles at 95 °C for 15 sec, 58 °C for 30 sec, 72 °C for 40 sec and a final  
129 extension at 72 °C for 4 min. The DNA template of *A. baumannii* CCUG 19096<sup>T</sup>, *E.*  
130 *coli* ATCC 25922 and *Ps. aeruginosa* DSM 1117, were used in each amplification  
131 reaction as positive and negative controls.

132

133 Identification at the species level and determination of intra-specific variation

134 *Acinetobacter* isolates identification was based on analysis of the sequence of the gene  
135 for RNA polymerase beta subunit (*rpoB*) (La Scola 2006). Additionally, two other  
136 house-keeping gene sequences, recombinase A (*recA*) and gyrase beta subunit (*gyrB*),  
137 were analyzed. The combined multi-locus sequence analysis (MLSA) of the three gene  
138 sequences was used to assess the intra-species genetic variation.

139 The partial sequences of *rpoB* (902 bp) and *gyrB* (909 bp) were amplified with the  
140 primers and conditions described before (La Scola 2006; Vaz-Moreira 2011b), and *recA*  
141 (425 bp) was amplified with the primers described previously (Nowak and Kur 1995) in  
142 reactions of 50 µL, with 1.23 U of Taq PCR MasterMix Kit (Qiagen, Hilden, Germany),  
143 0.5 µM of each primer, RA1 and RA2, and 10 µL of bacterial genomic DNA. After initial

144 denaturation at 95 °C for 2 min, 35 amplification cycles were performed according to the  
145 following format: 30 sec at 95 °C; 1 min at 55 °C; 2 min at 72°C; and a final extension  
146 of 10 min at 72 °C. PCR products were purified, using the Qiagen DNA Purification Kit  
147 (Qiagen, Hilden, Germany), according to the supplier's instructions, and sequenced,  
148 using a 3130XL Genetic Analyzer (Applied Biosystems, California, USA).

149 The partial *rpoB*, *recA* and *gyrB* nucleotide sequences were edited manually, using the  
150 software BioNumerics (ver. 6.1, Applied Maths, Sint-Martens-Latem, Belgium). To  
151 determine species affiliations, *rpoB* nucleotide sequences were compared with the *rpoB*  
152 sequences of the type strains of all *Acinetobacter* species, available in the GenBank  
153 database (<http://www.ncbi.nlm.nih.gov>) or determined in this study. To assess the intra-  
154 species variation the nucleotide sequences of *recA* and *gyrB* also were examined.

155 Dendrograms were constructed, based on the model of Jukes & Cantor (1969), using the  
156 neighbour-joining, maximum parsimony and maximum likelihood methods. In the  
157 analysis 828, 852 and 363 nucleotide positions of the *rpoB*, *gyrB* and *recA* sequences,  
158 respectively, were used. For strain discrimination, the nucleotide sequences of each of  
159 the three genes were compared within each species (as determined by *rpoB* sequence  
160 analyses). Isolates which sequences differed in, at least, one nucleotide position were  
161 considered to represent distinct sequence types (ST).

162 For simplicity, comparison of the isolates was represented in a dendrogram constructed  
163 based on 2043 positions of the concatenated partial sequences of *rpoB*, *recA* and *gyrB*  
164 genes (Fig. 2). One representative of each sequence type of the genes *rpoB*, *recA* and  
165 *gyrB* was deposited in the GenBank database with the accession numbers (JN903770-  
166 JN903895).

167

168 Antibiotic resistance phenotypes



169 Antibiotic resistance phenotypes were determined for all the 244 isolates that gave a  
170 positive result for the 16S rRNA signature, using the disk diffusion method, according  
171 to standard recommendations (Clinical and Laboratory Standards Institute 2007). The  
172 antibiotics tested were: amoxicillin (AML, 25 µg); gentamicin (GEN, 10 µg);  
173 ciprofloxacin (CIP, 5 µg); sulphamethoxazole/trimethoprim (SXT, 23.75/1.25 µg);  
174 tetracycline (TET, 30 µg), cephalothin (CP, 30 µg); meropenem (MER, 10 µg);  
175 ceftazidime (CEF, 30 µg); ticarcillin (TIC, 75 µg); colistin sulphate (CT, 50 µg);  
176 sulphamethoxazole (SUL, 25 µg); and streptomycin (STR, 10 µg) (all Oxoid, Hants,  
177 UK). Cultures were incubated for 24 h at 37 °C, except for isolates most related with the  
178 species *A. parvus*, which being unable to grow at that temperature, were incubated at 30  
179 °C. In each experimental set were included the reference strains, *E. coli* ATCC 25922  
180 and *P. aeruginosa* DSM 1117, incubated at temperatures 30 °C and 37 °C. The  
181 inhibition zones were measured. For reference strains, an average deviation of 1-2 mm  
182 was observed. For each antibiotic, the epidemiological cut-off value was determined,  
183 based on box-plot representations of the data for the inhibition diameters observed in  
184 this study or, for the antibiotics ciprofloxacin, meropenem and  
185 sulphamethoxazole/trimethoprim, complemented with data available in public databases  
186 (<http://mic.eucast.org/Eucast2/>). Based on box-plot representations of the inhibition  
187 zone diameters, outliers were identified and classified as non-wild types (non-WT).  
188 Thus, isolates with wild-type (WT) tolerance to an antibiotic presented an inhibition  
189 zone diameters which fell inside the box-plot bar whereas non-wild-type (non-WT)  
190 were outliers.

191

## 192 Statistical analyses

193 Bacterial richness, diversity and evenness indices were determined to compare the  
194 occurrence of ST:s of cultivable *Acinetobacter* spp. over the different sampled sites. The

195 Diversity [ $H' = - \sum p_i \ln(p_i)$ ] and Evenness [ $J=H'/\ln(H_{max})$ ] were calculated, using the  
196 Shannon's (Shannon and Weaver 1949) and Pielou's indices (Pielou 1966),  
197 respectively. Species and sequence types (resultant from the analysis of the three  
198 housekeeping genes) were used as the taxonomic units for these calculations, which  
199 included all of the isolates (n=244), even when recovered on the same date, place or  
200 culture medium. The analyses of the distribution of antibiotic inhibition zones were  
201 supported by box-plots supplied by SPSS software (version 18.0). The epidemiological  
202 cut-off values were defined as the values below the lower limits of the normal  
203 distribution of inhibition zones.

204

## 205 **Results**

### 206 Diversity of *Acinetobacter* spp.

207 Cultivable *Acinetobacter* spp. were isolated from samples with total heterotrophic  
208 counts ranging from  $10^1$ - $10^3$  CFU mL<sup>-1</sup> in pre-treated surface water in the WTP,  $10^2$ - $10^2$   
209 CFU mL<sup>-1</sup> in treated water in the WTP and  $10^1$ - $10^4$  CFU mL<sup>-1</sup> in tap water (Table 1).

210 Using PCR and *Acinetobacter*-specific 16S rRNA gene primers (Vanbroekhoven 2004),  
211 244 isolates out of the 323 presumptive *Acinetobacter* spp. (Gram-negative coco-bacilli,  
212 oxidase-negative and catalase-positive), were indicated to be members of this genus.

213 Given the criterion for bacterial isolation (explained in the Methods section) some  
214 repetitive isolates could be expected. In order to avoid the artefact of the  
215 overrepresentation of some sequence types or non-wild antibiotic resistance phenotypes,

216 repetitive isolates were removed from further analysis. Thus, when two or more  
217 bacterial isolates from the same place, same date, and same culture medium exhibited  
218 identical *rpoB*, *gyrA* and *recA* sequence types and antibiotic resistance patterns, they  
219 were considered replicas of the same isolate and were excluded from further analysis.

220 This procedure led to the establishment of a collection of 118 *Acinetobacter* isolates

221 which were compared for their antibiotic resistance types and genotypic diversity.  
222 Twenty-four per cent of these isolates (28/118) were from the WTP and 76 % (90/118)  
223 were from taps. From the WTP, 22 isolates were collected in November 2007 and six in  
224 September 2009. From the taps, five of the isolates were collected in April, 46 in July,  
225 38 in October of 2009 and one in June 2008. None of isolates recovered from three of  
226 the four sampling sites located after chlorination points in the WTP (Table 1) were  
227 identified as *Acinetobacter* spp..

228 In an attempt to identify the *Acinetobacter* isolates to the species level, the *rpoB* partial  
229 sequences were compared with those of the type strains of all validly published  
230 *Acinetobacter* spp., (by what date?) as recommended by previous studies (La Scola et  
231 al., 2006; Gundi et al. 2009). On the basis of analysis of the partial sequence of *rpoB*,  
232 the closest neighbours of the *Acinetobacter* isolates were members of 11 distinct species  
233 (Table 2). Nevertheless, considering the conclusions of La Scola *et al.* (La Scola 2006)  
234 that *rpoB* sequence similarity values below 95 % indicate distinct species, it is  
235 hypothesised that 7 isolates recovered during this study represent three novel species.

236 The closest neighbours of these 7 isolates comprised the species *A. gernerii* (one isolate,  
237 85% similar *rpoB* sequence from W5), *A. tandoii* (two isolates, 94.2% similar *rpoB*  
238 sequence, from W1) and *A. tjernbergiae* (five isolates, 94-94.4% similar *rpoB*  
239 sequence, from W3, T2 and T5) (Table 2). In general, the analysis of the *gyrB* and *recA*  
240 sequences gave concordant species affiliations with those determined on the basis of  
241 *rpoB* nucleotide sequences. Exceptions were observed for the strains identified as or  
242 closest related to *A. baylyi*, *A. gernerii*, *A. parvus* and *A. tjernbergiae*.

243 Isolates with high *rpoB* sequence similarity, and probably members of the same species,  
244 were often detected in a single site or sampling date. For instance, this was observed in  
245 isolates most closely related to the species *A. baylyi*, *A. calcoaceticus*, *A. gernerii*, *A.*  
246 *junii* and *A. tandoii*, which were isolated only from WTP samples (Table 2). Others,

247 closely related to *A. pittii* and *A. beijerinckii* were isolated only from tap water. In  
248 contrast, isolates related with the species *A. johnsonii*, *A. lwoffii*, *A. parvus* and *A.*  
249 *tjernbergiae* were obtained from both the water treatment plant and tap water. From  
250 alluvial wells, in which human impact is supposed to be lower than in surface water  
251 samples, isolates identified as six different species were observed, *A. baylyi*, *A.*  
252 *calcoaceticus*, *A. junii*, *A. johnsonii*, *A. lwoffii* and *A. parvus* (Table 2).

253 In an attempt to determine intra-species strain diversity and investigate possible clonal  
254 relationships between isolates from different sampling sites or dates, concatenated  
255 partial nucleotide sequences of the genes *rpoB*, *gyrB* and *recA* were compared (Table 3,  
256 Fig. 2). This analysis supported the definition of 39 sequence types. Isolates most  
257 related with the species *A. pittii* and *A. beijerinckii*, which were represented by more  
258 than one isolate but included a single sequence type, had origins in different locations  
259 and sampling dates. In the same way, isolates sharing identical sequence types, related  
260 with the species *A. johnsonii*, *A. lwoffii* and *A. tjernbergiae*, were observed in different  
261 taps. This observation could indicate a possible common origin of these strains (Table  
262 3). The occurrence of different lineages was evidenced for isolates which closest  
263 neighbours were members of the species *A. johnsonii*, *A. junii*, *A. lwoffii*, *A. parvus*, *A.*  
264 *tandoii* and *A. tjernbergiae*, represented by up to 13 different sequence types. Most of  
265 these STs were isolated from distinct taps or sampling dates. In other cases, different  
266 sequence types were observed in the same tap (for example *A. lwoffii* ST lw2, lw4, lw5,  
267 lw7 and lw8 in taps 1, 5, 8 and 10; and *A. johnsonii* ST jo2, jo3, jo5, jo7-14 in taps 3, 5,  
268 7 and 10). This pattern may suggest different episodes of colonization by *Acinetobacter*  
269 in the same tap.

270 No particular sequence type was ever observed in both water treatment plant and in tap  
271 water samples (Fig. 2, Table 3). Similarly, within the water treatment plant, unique  
272 sequence types were detected for each sampled site, with the exceptions of the ST ju6 of

273 *A. junii* and ST pa6 of *A. parvus*. Strains with these STs were isolated from alluvial  
274 wells and also from samples collected downstream from the chlorination point, hinting  
275 at the possible survival of these bacteria during the disinfection process. This can be  
276 explained by the fact that, in this treatment facility, water from alluvial wells is not  
277 subjected to the treatment stages prior to chlorination, due to its supposed pristine  
278 character.

279 According to the criterion established for bacterial isolation and purification, the set of  
280 isolates collected was representative of the variety of cultivable *Acinetobacter* spp. in  
281 each location. Based on this, a comparative analysis of the diversity and evenness  
282 indices was made. The comparison of the sequence type diversity and evenness in the  
283 different sites did not reveal dramatic differences between the WTP and tap water  
284 samples (Fig. 3). Apparently, ozonation, more than chlorination, imposed a marked  
285 reduction on the diversity of sequence types. In general, the diversity observed was  
286 higher in pre-treated (W1-W2) and in tap water than immediately after disinfection  
287 (W3, W5). Evenness was higher in ozonated water (W3) than in water from alluvial  
288 wells (W2) or surface water (W1) and, in general, it was lower in tap water than in the  
289 water treatment plant.

290 In contrast to the general trend in the WTP, in tap water, the same sequence type was  
291 detected in samples from different taps. For instance, the sequence type lw8 of the  
292 species *A. lwoffii* was detected in tap 10 and in taps 5, 8, 9 and 10 with an interval of  
293 three months, suggesting a continuous supply of this bacterium in water, or that they  
294 live and proliferate in household pipes. The temporal persistence of a specific sequence  
295 type in the same site of isolation could be inferred also from its presence at different  
296 sampling dates (Fig. 2). Such persistence could be hypothesized for the isolates most  
297 related with the species *A. beijerinckii*, *A. junii* and *A. lwoffii*. An interesting example

298 was given by isolates of *A. junii*, with the same sequence type being isolated from  
299 alluvial wells almost two years apart, suggesting the stability of this habitat.

300

### 301 Antimicrobial susceptibility

302 The term epidemiological cutoff (ECOFF), proposed by the European Committee on  
303 Antimicrobial Susceptibility Testing (EUCAST), corresponds to the lower limit of the  
304 inhibition zone in a wild type (WT) population distribution. According to the EUCAST  
305 definition, a microorganism is defined as wild type (WT) if it does not exhibit acquired  
306 and mutational resistance mechanisms to a given drug. This definition has no  
307 correspondence to the clinical response to antimicrobial treatment and does not vary by  
308 changing circumstances. ECOFF values have been published for numerous bacterial  
309 groups and antimicrobial agents (<http://www.eucast.org>) and allow a reliable  
310 differentiation between wild bacteria and those which acquired any antibiotic resistance  
311 mechanism. Nevertheless, for some bacterial groups, ECOFF values databases are still  
312 under construction. One of such examples is the genus *Acinetobacter* spp., for which the  
313 available data on inhibition zones is scant. Indeed, from the 12 antibiotics used in this  
314 study, the ECOFF value was defined only for gentamicin. This fact motivated the  
315 calculation of epidemiological cutoff values based on the data obtained in the current  
316 study, whenever possible, complemented by data available in EUCAST database (Table  
317 4). Isolates were classified as WT or non-WT, although the limited number and origin  
318 of strains may have introduced some bias on the data analysis. For instance, in the case  
319 of gentamicin, for which an ECOFF value was defined, the value estimated based on  
320 our data was  $\geq 18$  mm while EUCAST recommends a value  $> 15$  mm. When EUCAST  
321 data was included in our dataset, the determined cutoff value for meropenem was  
322 lowered from 23 to 20 mm and the value varied for sulfamethoxazole/trimethoprim  
323 from 18 to 16 mm. In contrast, for ciprofloxacin the same value of 20 mm was obtained

324 using only our dataset or including also the EUCAST inhibition zones data.  
325 Cephalothin, for which most of the isolates (> 75 %) presented inhibition zones of zero  
326 mm, was excluded from this analysis.  
327 In general, non-wild types were rare among the studied *Acinetobacter* spp. from both  
328 the WTP and tap water samples, with 80 % of the isolates showing a wild type of  
329 susceptibility to all the antibiotics tested. Nevertheless, with exception for ciprofloxacin,  
330 non-WTs were observed for every antibiotic tested. Non-WT for gentamicin,  
331 meropenem, streptomycin, sulphamethoxazole/trimethoprim, sulphamethoxazole and  
332 ticarcillin were detected exclusively among the tap water isolates. In contrast, non-WTs  
333 for ceftazidime and colistin sulphate were observed only in WTP. Additionally, non-  
334 WT for tetracycline were significantly more prevalent ( $p<0.05$ ) among the WTP  
335 isolates than in tap water (Table 4). Some non-WTs were observed preferentially in  
336 some *Acinetobacter* groups. For instance, non-WTs for streptomycin and tetracycline  
337 were restricted to isolates closely related with the species *A. tjernbergiae* and *A. pittii* or  
338 *A. parvus* and *A. johnsonii*, respectively (Table 3). This distribution may suggest the  
339 tendency of members of these lineages to acquire those resistance phenotypes.

340

#### 341 **Discussion**

342 Numerous studies focusing on the diversity of *Acinetobacter* spp., published over the  
343 last years, refer to the potential of some members of this genus to act as opportunistic  
344 pathogens, develop antibiotic resistance or discuss their role in wastewater bio-  
345 treatment systems. Although drinking water can harbour different species of  
346 *Acinetobacter* (Leclerc and Moreau 2002; Norton and Lechevallier 2000; Who 2008), to  
347 our knowledge, the diversity and dynamics of members of this genus in potable water  
348 has not been addressed in literature. Some studies characterizing the bacterial  
349 community through cultivation-independent approaches do not report the detection of

350 *Acinetobacter* spp. in these habitats (Hong 2010; Li 2010; Revetta 2010), while others  
351 do confirm the relevance of *Acinetobacter* spp. in potable water (Vaz-Moreira 2011a;  
352 Vaz-Moreira unpublished). In the present work, cultivable *Acinetobacter* spp. reached  
353 as much as  $10^4$  CFU mL<sup>-1</sup> of tap water (Table 1), a density which, in some  
354 circumstances, may deserve attention.

355 The selection of the genetic loci used to type *Acinetobacter* spp. was supported by  
356 previous publications. The gene *rpoB*, given the low resolution of species detected by  
357 the 16S rRNA gene, has become an important and recognised tool for *Acinetobacter*  
358 species delineation (La Scola 2006). Species identification, based on the analysis of the  
359 partial sequence of the gene *rpoB*, allowed for the differentiation of 11 species groups,  
360 three of which include presumably members of novel species. The other two gene  
361 sequences analysed, *gyrB* and *recA*, constituting part of the recommended protocol for  
362 multi-locus sequence typing (MLST) of *A. baumannii*, have also been reported to  
363 discriminate *Acinetobacter* species (Bartual 2005; Krawczyk 2002; Nowak and Kur  
364 1995; Yamamoto 1999). In this study, the inconsistency of *Acinetobacter* identifications  
365 based on the *rpoB* and *gyrB* sequences, previously referred to (La Scola 2006) was  
366 confirmed, mainly for strains with the highest *rpoB* sequence similarity to the species *A.*  
367 *baylyi*, *A. gernerii* and *A. parvus*. Nevertheless, the combination of these three loci  
368 (*rpoB*, *gyrB* and *recA*) allowed intra-species differentiation for most of the  
369 *Acinetobacter* groups studied in this work. For the group of isolates under study  
370 (including the type strains included in Fig. 2), the resolving power of the three  
371 nucleotide sequences could be ranked as *rpoB* < *recA* < *gyrB*, with ranges of sequence  
372 similarity, after Jukes & Cantor correction, of 72.8 %–98.9 %, 74.7 %–90.6 %, 68.8 %–  
373 88.9 %, respectively.

374 Most of the species identified in this study have a recognized environmental  
375 distribution. *Acinetobacter* spp. have been isolated from contaminated soils



376 (Vanbroekhoven 2004), freshwater fish (Gonzalez 2000), raw milk (Hantsis-Zacharov  
377 and Halpern 2007) or human skin (Seifert 1997). Isolates most related with the species  
378 *A. lwoffii*, *A. johnsonii*, *A. parvus* and *A. tjernbergiae* were those detected in both the  
379 WTP and in tap water. Of the species detected in tap water, *A. lwoffii* and *A. pittii* are  
380 those more commonly associated with opportunistic infections (Dimopoulou 2003;  
381 Idzenga 2006; Regalado 2009).

382 Although alluvial wells (W2) were the sampled sites with the highest number of  
383 different species (n=6), taps 1, 3, 5, 7 and 11 also presented a high diversity of species  
384 and/or of sequence types (Table 3 and Fig. 3). Even when isolates with high *rpoB*  
385 sequence similarity were present in both WTP and in tap water, these were always  
386 represented by distinct ST (Fig. 2), suggesting a dynamic character of the *Acinetobacter*  
387 spp. populations throughout the water circuit and/or the entrance of *Acinetobacter* spp.  
388 strains downstream the water treatment plant. The inclusion of amoebae-resistant  
389 *Acinetobacter* spp. by free-living amoebae may be also an important factor influencing  
390 the dynamics of members of this genus in disinfected water (Thomas 2008; Thomas  
391 2010); (Loret and Greub 2010). Nevertheless, a similar distribution of diversity and  
392 evenness indices in the water treatment plant and in tap water deny a hypothetical  
393 founder effect due to water disinfection (Fig. 3).

394 Most of the isolates (80 %) were WT for the antibiotics tested, suggesting low rates of  
395 acquired resistance mechanisms. Nevertheless, in both WTP and tap water, isolates with  
396 non-WT to different antibiotics were observed. For some antibiotics, non-WT were  
397 observed only among tap water isolates, suggesting that tap water *Acinetobacter* spp. do  
398 not originate from the WTP or that resistance acquisition to some antibiotics may take  
399 place throughout the water distribution. The first hypothesis, which would suggest that  
400 *Acinetobacter* spp. detected in tap water enter the system downstream the WTP, is  
401 supported by the genotypic and taxonomic analysis. In turn, the fact that tap water

402 isolates with the same ST could be differentiated in WT and non-WT, suggests some  
403 kind of resistance emergence (mutation or horizontal gene transfer) downstream the  
404 WTP. The relevance of tap water as a potential source of antimicrobial resistant bacteria  
405 has been suggested in different studies and bacterial groups (Vaz-Moreira 2011c; Vaz-  
406 Moreira 2012; Xi 2009). Studying cultivable sphingomonads and *Pseudomonas* spp.,  
407 which are known to occur frequently in drinking water worldwide, Vaz-Moreira et al.  
408 (2011c; 2012) showed that these bacteria are relevant potential reservoirs of antibiotic  
409 resistance in drinking water. Also Xi et al. (2009) observed the re-growth of bacteria in  
410 drinking water distribution systems and concluded that most antibiotic resistance genes  
411 studied, conferring resistance to beta-lactams or sulfonamides, were more abundant in  
412 tap water than in finished disinfected water or source water. Nevertheless, such  
413 differences may be species or even strain-dependent, as suggested before for  
414 sphingomonads and *Pseudomonas* spp. (Vaz-Moreira 2011c; Vaz-Moreira 2012),  
415 recovered from the same drinking water system. The fate of bacteria from the source to  
416 the tap, depends also on the taxonomic group as was demonstrated comparing the  
417 current study with another one on aeromonads (Figueira 2011), which density was  
418 reduced after water disinfection, being below the detection limit in tap water. The  
419 ability of bacteria to form or adhere to biofilm structures may be determinant, among  
420 other factors, for their capability to colonize tap water. Indeed, *Acinetobacter* spp. have  
421 such a capacity (Simões 2010), a fact that may explain the prevalence of these bacteria  
422 in tap water and also the liability to acquire antibiotic resistance determinants.

423 Drinking water quality is influenced by several factors, including geography and climate  
424 conditions. Nevertheless, the major bacterial groups present? are similar worldwide  
425 (Eichler 2006; Hoefel 2005; Kormas 2010; Poitelon 2009; Revetta 2010; Thomas 2006;  
426 Xi 2009). For this reason, it is expected that studies on bacterial diversity and antibiotic  
427 resistance conducted in drinking water treatment plants or tap water worldwide share

428 some general trends. Additionally, these studies are also relevant to allow further  
429 inferences on the differences of resistance patterns observed worldwide. *Acinetobacter*  
430 spp. are ubiquitous bacteria with the potential to rapidly adapt to the hospital  
431 environment and behave as nosocomial pathogens (Gundi 2009; Van Looveren 2004;  
432 Visca 2011). The ability to develop antibiotic resistance is part of such an adaptive  
433 process and give to some *Acinetobacter* species the character of clinically relevant  
434 environmental bacteria (Bergogne-Berezin and Towner 1996; Montealegre 2012).  
435 These arguments motivated the current study, conducted in a water supplying system  
436 feeding about half a million of inhabitants and several health care facilities.  
437 In this study the highest prevalence of non-WT was observed for the antibiotics  
438 amoxicillin, tetracycline, colistin and streptomycin (Table 4). Some of these resistance  
439 phenotypes were previously observed in environmental bacteria of this genus  
440 (Dhakephalkar and Chopade 1994). For cefazidime, tetracycline and colistin, non-WT  
441 were only observed or were more prevalent in the WTP. This is relevant for instance for  
442 colistin which is one of the antibiotics commonly used for the treatment of  
443 *Acinetobacter* infections (Fishbain and Peleg 2010) and was not detected in tap water. It  
444 is also noteworthy that isolates related with *A. pittii* were the major harbors of  
445 streptomycin non-WT, which was only observed in tap water. Remarkably, *A. pittii* is  
446 among the major causes of *Acinetobacter* infection in humans and is a recognized host  
447 for new antibiotic resistance determinants (Gundi 2009; Montealegre 2012; Visca  
448 2011).

449 This study confirms the ubiquity of some species of *Acinetobacter* in water, including in  
450 tap water and emphasizes the fact that tap water may represent a vehicle of clinically  
451 relevant environmental bacteria to humans. Although multiple sources of colonization,  
452 other than the water supply system, may explain the presence of *Acinetobacter* spp. in  
453 tap water, their presence and antibiotic resistance patterns deserve attention. Non-WT

454 isolates were rare, but nevertheless, more frequent and diverse in tap water than in  
455 WTP, suggesting that the entry of *Acinetobacter* spp. harbouring acquired resistance or  
456 the acquisition of resistance after water disinfection are likely processes.

457

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641 Zhang Y, Marrs CF, Simon C, Xi C (2009) Wastewater treatment contributes to  
642 selective increase of antibiotic resistance among *Acinetobacter* spp. Sci Total Environ  
643 407:3702-3706

644 Table 1. Total heterotrophic counts (CFU mL<sup>-1</sup>) and percentage (%) of *Acinetobacter*  
 645 representatives in the different samples sites

Local of isolation		Range of Total Heterotrophs counts (CFU's mL <sup>-1</sup> )			% of <i>Acinetobacter</i> spp. isolated (n° <i>Acinetobacter</i> / total n° of isolates)	
		Minimum	Mean value	Maximum		
WT	W	2.5x10 <sup>3</sup> - 3.6x10 <sup>3</sup>	3.0x10 <sup>3</sup>	3.6x10 <sup>3</sup>	3.6 % (7/196)	
P	1					
	W	1.4x10 <sup>1</sup> - 2.1x10 <sup>1</sup>	1.8x10 <sup>1</sup>	2.1x10 <sup>1</sup>	42.7 % (56/131)	
	2					
	W	2.0x10 <sup>1</sup> - 1.2x10 <sup>3</sup>	4.4x10 <sup>2</sup>	1.2x10 <sup>3</sup>	1.4 % (2/145)	
	3					
5	W	9.7x10 <sup>0</sup> - 2.0x10 <sup>2</sup>	1.1x10 <sup>2</sup>	2.0x10 <sup>2</sup>	9.0 % (17/189)	
	5					
	TA	T1	2.3x10 <sup>2</sup> - 1.6x10 <sup>3</sup>	7.5x10 <sup>2</sup>	1.6x10 <sup>3</sup>	11.1 % (14/126)
	P	T2	3.6x10 <sup>2</sup> - 1.1x10 <sup>3</sup>	6.3x10 <sup>2</sup>	1.1x10 <sup>3</sup>	3.3 % (4/122)
		T3	6.0x10 <sup>1</sup> - 5.7x10 <sup>2</sup>	2.7x10 <sup>2</sup>	5.7x10 <sup>2</sup>	22.6 % (26/115)
T4		2.0x10 <sup>2</sup> - 2.0x10 <sup>3</sup>	8.6x10 <sup>2</sup>	2.0x10 <sup>3</sup>	9.0 % (12/133)	
T5		3.3x10 <sup>1</sup> - 3.3x10 <sup>2</sup>	1.6x10 <sup>2</sup>	3.3x10 <sup>2</sup>	16.1 % (24/149)	
T6		1.5x10 <sup>3</sup> - 6.3x10 <sup>3</sup>	3.9x10 <sup>3</sup>	6.3x10 <sup>3</sup>	7.2 % (10/139)	
T7		2.5x10 <sup>2</sup> - 1.8x10 <sup>3</sup>	1.1x10 <sup>3</sup>	1.8x10 <sup>3</sup>	18.7 % (26/139)	
T8		7.9x10 <sup>3</sup> - 7.7x10 <sup>4</sup>	3.4x10 <sup>4</sup>	7.7x10 <sup>4</sup>	5.5 % (8/146)	
T9		2.9x10 <sup>3</sup> - 1.4x10 <sup>4</sup>	9.1x10 <sup>3</sup>	1.4x10 <sup>4</sup>	0.7 % (1/148)	
T1		2.2x10 <sup>3</sup> - 9.9x10 <sup>3</sup>	4.8x10 <sup>3</sup>	9.9x10 <sup>3</sup>	15.4 % (23/149)	
0						
T1		1.4x10 <sup>3</sup> - 1.3x10 <sup>4</sup>	8.3x10 <sup>3</sup>	1.3x10 <sup>4</sup>	6.4 % (8/125)	
1						
H	3.0x10 <sup>1</sup> - 1.3x10 <sup>3</sup>	6.5x10 <sup>2</sup>	1.3x10 <sup>3</sup>	4.3 % (6/140)		

646 WTP, water treatment plant; W1, surface water; W2, alluvial wells; W3, after ozonation; W5, before a re-  
 647 chlorination point; T1-T11, household taps; H, health care unit tap.  
 648 *Acinetobacter* spp. could not be isolated from the WTP sampling point W4 (146 isolates, collected after  
 649 the chlorination), and in three points of the bulk supply distribution system: W6-W8 (395 isolates).  
 650

651 **Table 2.** Closest neighbours of bacterial isolates based on the *rpoB* sequence analysis,  
 652 per site of isolation

Closest related species (type strain)	% of <i>rpoB</i> sequence similarity (accession number)	Site <sup>a</sup> (number of isolates)
<i>A. baylyi</i> (CIP 107474)	99.4 (DQ207472)	W2 (1)
<i>A. beijerinckii</i> (NIPH 838)	98.3 (EU477124)	Taps (18)
<i>A. calcoaceticus</i> CIP 81.8)	97.0 (DQ207474)	W5 (1)
<i>A. gernerii</i> (CIP 107464)	85.4 <sup>α</sup> (DQ207482)	W2 (1)
<i>A. johnsonii</i> (CIP 64.6)	98.2-99.9 (DQ207485)	W2 (5); Taps (31)
<i>A. junii</i> (CIP 64.5)	98.2-98.8 (DQ207486)	W1 (2), W2 (5), W5 (2)
<i>A. lwoffii</i> (NIPH 512)	98.1-99.7 <sup>α</sup> (EU477111)	W2 (1); Taps (23)
<i>A. parvus</i> (CIP 108168)	97.8-98.2 (DQ207488)	W1 (3), W2 (3), W5 (1); Taps (5)
<i>A. pittii</i> (NIPH 519)	99.7 (EU477114)	Taps (9)
<i>A. tandoii</i> (CIP 107469)	94.2 <sup>α</sup> -97.5 (DQ207491)	W1 (1), W3 (1)
<i>A. tjernbergiae</i> (CIP 107465)	94.0-94.4 <sup>α</sup> (DQ207492)	W3 (1); Taps, (4)

653 <sup>α</sup>, *rpoB* sequence similarity values < 95 %, suggest that these isolates may represent  
 654 novel species

655 <sup>a</sup>W1, surface water; W2, alluvial wells; W3, after ozonation; W5, downstream from the  
 656 chlorination tank (see figure 1 for details).

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**Table 3.** Diversity of *Acinetobacter* spp. sequence types according to isolation site and antibiotic resistance type, classified as WT or non-WT

Closest neighbour	Sequence Type (n)	Phenotype <sup>b</sup>	Site <sup>a</sup> (n)													
			0 <sup>c</sup>	Taps (90)											H	
				1	2	3	4	5	6	7	8	9	10	11		
<i>A. baylyi</i>	by2(1)	AML	W2 (1)													
<i>A. beijerinckii</i>	be2(18)	WT		3			5	5		2					3	
<i>A. gernerii</i> (85%)	ge2(1)	CT CEF	W5 (1)													
<i>A. calcoaceticus</i>	ca2(1)	AML	W2 (1)													
<i>A. johnsonii</i>	jo2(1)	WT						1								
	jo3(3)	WT			1	1										
		TET						1								
	jo4(1)	CT	W2 (1)													
	jo5(3)	WT				3										
	jo6(4)	WT	W2 (4)													
	jo7(1)	TIC				1										
	jo8(1)	WT						1								
	jo9(1)	AML MER SXT SUL												1		
	jo10(4)	WT				3		1								
	jo11(7)	WT		1		3				2					1	
	jo12(3)	WT				1				2						
	jo13(4)	WT				1				3						
jo14(3)	WT								2				1			
<i>A. junii</i>	ju2(1)	WT	W1 (1)													
	ju3(1)	WT	W5 (1)													
	ju4(2)	WT	W2 (2)													
	ju5(1)	WT	W1 (1)													
	ju6(4)	WT	W2 (3) / W5 (1)													
<i>A. lwoffii</i>	lw2(1)	WT						1								
	lw3(1)	WT	W2 (1)													
	lw4(3)	WT		1						2						
	lw5(1)	CT CEF									1					
	lw6(1)	WT														1
	lw7(3)	WT		1					1						1	
	lw8(14)	SUL													1	
WT							3			2	1	7				
<i>A. parvus</i>	pa2(1)	WT	W1 (1)													
	pa3(5)	WT				4										
		GEN						1								
	pa4(1)	WT	W1 (1)													
	pa5(1)	WT	W1 (1)													
	pa6(4)	TET	W2 (2) / W5 (1)													
WT		W2 (1)														
<i>A. pittii</i>	pi2(9)	STR		3					1	1					1	
		AML STR							1						2	
<i>A. tandoii</i> (94%)	ta2(1)	WT	W3 (1)													
	ta3(1)	WT	W1 (1)													
<i>A. tjernbergiae</i> (94%)	tj2(4)	STR			2			2								
	tj3(1)	WT	W3 (1)													

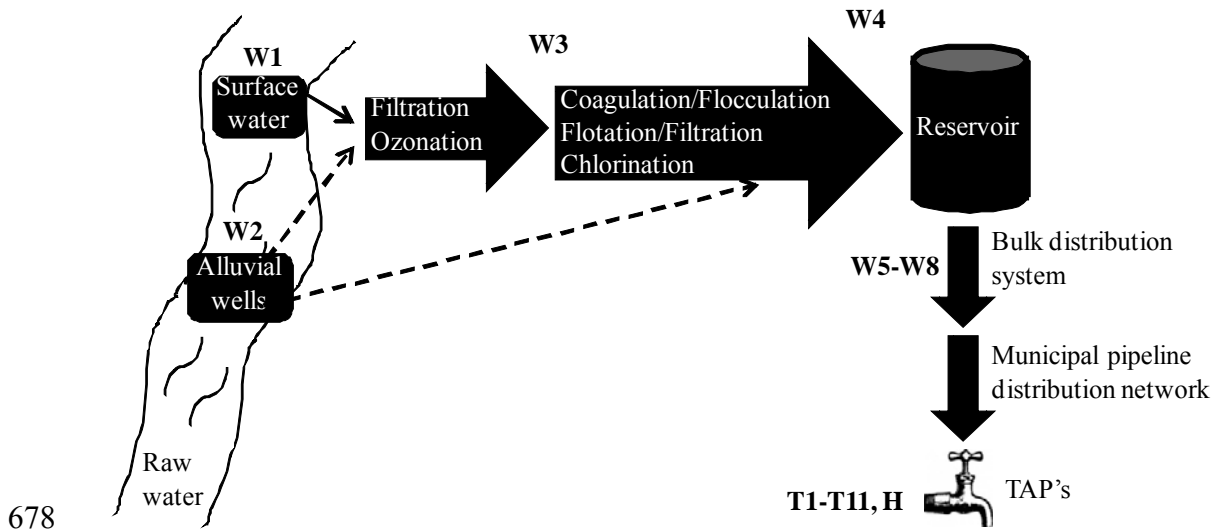
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<sup>a</sup>Site – 0 refers to WTP; 1-11 refers to household taps; H refers to health care unit tap  
<sup>b</sup> WT, wild type; the indication of antibiotic means that a non-wild phenotype was observed; AML, amoxicillin; GEN gentamicin; CIP, ciprofloxacin; SXT sulphamethoxazole/trimethoprim; TET, tetracycline; CP, cephalothin; MER, meropenem; CEF, ceftazidime; TIC, ticarcillin; CT, colistin sulphate; SUL, sulphamethoxazole; and STR, streptomycin  
<sup>c</sup> W1, surface water; W2, alluvial wells; W3, after ozonation; W5, before a re-chlorination point.

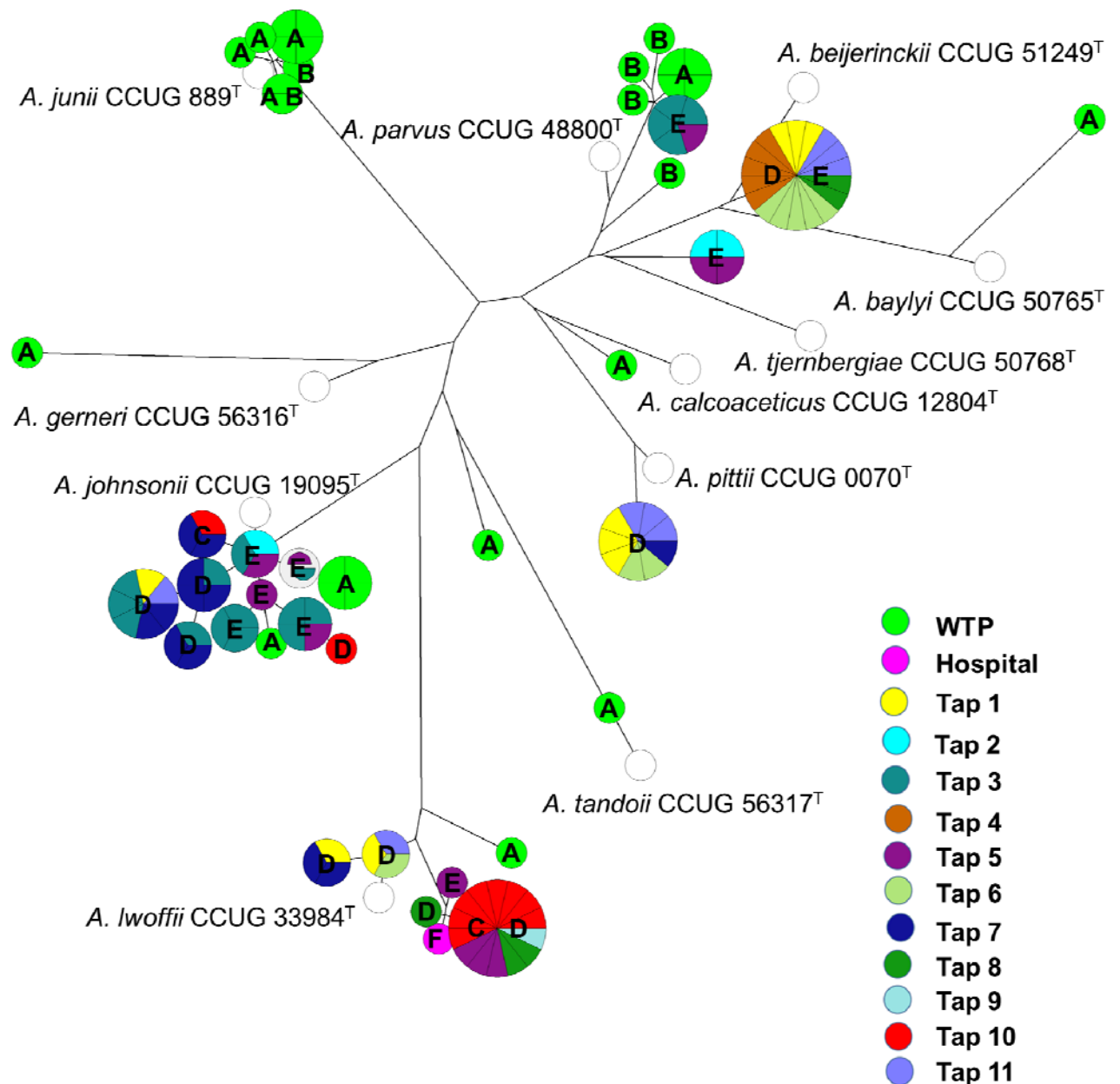
669 Table 4. Percentage of WTP and tap water isolates with non-wild phenotypes for the  
 670 different antibiotics tested  
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Site (n.° isolates)	Epidemiological cutoff values (mm) (n independent determinations)										
	AML <12mm <sup>‡</sup> (244)	GEN <15mm* (135)	CIP <20mm <sup>‡</sup> (370)	SXT <16mm <sup>‡</sup> (370)	TET <18mm <sup>‡</sup> (244)	MER <20mm <sup>‡</sup> (370)	CEF <14mm <sup>‡</sup> (244)	TIC <19mm <sup>‡</sup> (244)	CT <13mm <sup>‡</sup> (244)	SUL <15mm <sup>‡</sup> (244)	STR <15mm <sup>‡</sup> (244)
	Percentage of non-WT (%)										
<b>WTP (28)</b>	7.1 <sup>9-11</sup>	0	0	0	10.7 <sup>10-15</sup>	0	3.6 <sup>0</sup>	0	7.1 <sup>10-12</sup>	0	0
<b>Tap (90)</b>	4.4 <sup>9-11</sup>	1.1 <sup>14</sup>	0	1.1 <sup>0</sup>	1.1 <sup>14</sup>	1.1 <sup>17</sup>	0	1.1 <sup>12</sup>	0	3.3 <sup>0</sup>	14.4 <sup>8-11</sup>

672 <sup>‡</sup> value determined in this study; \* EUCAST value;  
 673 AML, amoxicillin; GEN gentamicin; CIP, ciprofloxacin; SXT sulphamethoxazole/trimethoprim; TET, tetracycline; CP,  
 674 cephalothin; MER, meropenem; CEF, ceftazidime; TIC, ticarcillin; CT, colistin sulphate; SUL, sulphamethoxazole; and STR,  
 675 streptomycin  
 676 Superscripts refer to the range of non-wild inhibition zones values observed (mm).  
 677 Shadowed cells correspond to significant differences (p<0.05)

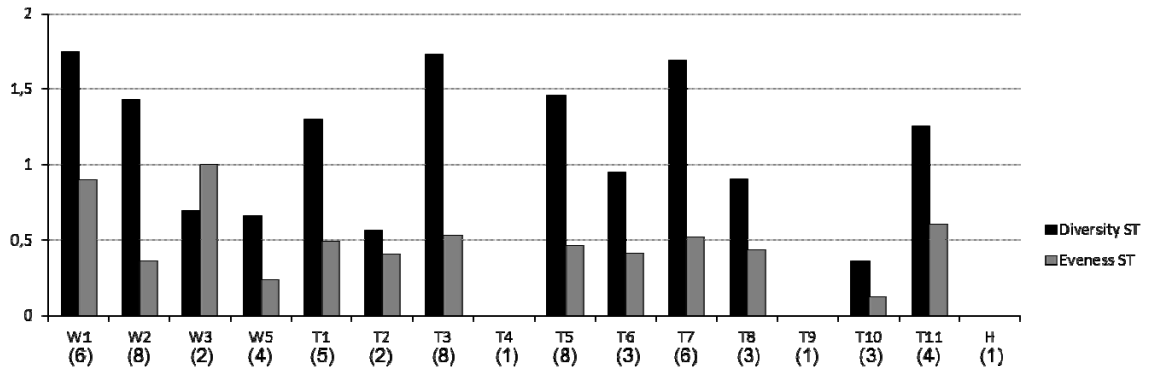






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683 **Fig. 2** Maximum likelihood tree of the water isolates and the type strains of  
684 *Acinetobacter* spp., constructed on the basis of concatenated *rpoB*, *recA* and *gyrB*  
685 sequences with the isolation site indicated. Each circle represents a different ST. The  
686 circle size is proportional to the number of isolates with that ST. White circles indicate  
687 the type strains. The letters within the circles refer to the sampling date: **A** = November  
688 2007; **B** = September 2009; **C** = April 2009; **D** = July 2009; **E** = October 2009; **F** =  
689 June 2008.

690



691

692 **Fig. 3** Diversity and evenness indices, assuming each sequence type as an operational  
693 taxonomic unit. The values of richness (number of different sequence types) is indicated  
694 below the legend of the sample. Legend details as in Table 3